Rupeezy

Policy on Handling of Good Till Cancelled (GTC)/ Good Till Triggered (GTT) Orders

1. Introduction: This policy outlines the procedures and protocols for managing *Good Till Cancelled* (GTC)/ Good Till Triggered (GTT) orders, offered by us, to ensure consistency and protection of client interests in compliance with guidelines from the National Stock Exchange of India (NSE), Bombay Stock Exchange (BSE) and the Securities and Exchange Board of India (SEBI).

2. GTC/GTT Order Definition: GTC/GTT orders enable clients to place buying and selling orders with specified price limits that remain valid until they are fully executed or explicitly cancelled by the client or until the duration as specified by the client. This order type allows flexibility but also comes with responsibilities to manage such orders during corporate actions.

'GTT order' is a feature which allows a user to set an order in advancefor future execution based on the anticipation of future price by theuser. User will set a threshold or trigger price and when such triggerprice condition is met with the Last Traded Price of the scrip / contract, the order ofthe user is placed in the exchange.

GTT orders have a validity of 365 days or contract expiry whichever isearlier.

3. Applicability: This policy applies to all new and existing clients who use GTC/GTT orders as part of their trading activities with us.

4. Handling of GTC/GTT Orders during Corporate Actions: This GTT order does not assure execution of an order and includes/involves all risks with respect to Internet Based Trading, and risks with respect to trading in the Capital Markets segments will extend to trading using the GTT orders as well.

- **Cancellation or Retention**: In the event of corporate actions (such as dividends, stock splits, mergers, price reset, etc.), unexecuted GTC/GTT orders will either be cancelled or adjusted based on the nature of the corporate action. The price of the orders may be reset, or the orders may be cancelled depending on the market conditions.

1. All GTT Orders triggered but not executed for any reason, or are cancelled otherwise, shall have to be placed again, if desired to be executed.
2. Users cannot have more than 50 pending GTT orders at any point of time. So new orders can be placed considering the pending slots.

- **Timeline of Intimation**: Clients will be notified of any corporate actions that may affect their GTC/GTT orders at least one day prior to the ex-date of the corporate action, allowing them time to modify or cancel their orders if desired.

    1. Where there is a significant impact/change in the scrip price due to corporate actions (such as dividends, stock splits, mergers, price reset, etc.), the GTT may be cancelled at the sole discretion of 'Rupeezy',on the Ex-date of such corporate action effect taking place on the stock price.
    2. A notification about such cancellation will be sent out one day prior to cancellation. The action of cancelling such an order request through the GTT Feature shall be at the sole discretion of 'Rupeezy' and 'Rupeezy RMS'.
    3. The information will be provided to clients on their registered mail address via E-mail services of 'Rupeezy'.

ApprovedBy: Satish ChandraGupta
Director

RevisionHistory:

Version:	ReleaseDate	Reason forChange	ChangeDescription
1	19-05-2023	NEW	NEW RELEASE
2	06-05-2024	ANNUAL REVIEW	Our trademark is registered in the name of"Rupeezy"andthe sameisupdated.