1st Sept 2024 Transaction Types: Lump Sum Purchases; Sw	DSP MUTUAL FUND			
Type of Brokerage		Trail Brokerage		-
Location	V4		Year 3 &	
Transaction Limit (Rs)	Year 1	Year 2	Onwards	
Hybrid DSP Equity & Bond Fund	0.85%	0.85%	0.85%	
DSP Equity Savings Fund DSP Regular Savings Fund	0.80%	0.80% 0.70%	0.80% 0.70%	
DSP Dynamic Asset Allocation Fund DSP Multi Asset Allocation Fund	1.10%	1.10%	1.10%	-
Equity DSP Equity Opportunities Fund	0.80%	0.80%	0.80%	
DSP Focus Fund	0.90%	0.90%	0.90%	
DSP Flexi Cap Fund DSP Top 100 Equity Fund	1.00%	1.00% 0.90%	1.00% 0.90%	
DSP India TIGER Fund DSP Mid Cap Fund	1.10% 0.80%	1.10% 0.80%	1.10% 0.80%	-
DSP Small Cap Fund DSP NRNE Fund	0.80% 1.05%	0.80% 1.05%	0.80% 1.05%	
DSP Healthcare Fund	1.10%	1.10%	1.10%	
DSP Quant Fund DSP Value Fund	0.55% 0.75%	0.55% 0.75%	0.55% 0.75%	
DSP Multi Cap Fund DSP Banking & Financial Services Fund	1.20%	1.20% 1.20%	1.20% 1.20%	-
Index Fund DSP Equal Weight Nifty 50 Fund	0.55%	0.55%	0.55%	
DSP Nifty 50 Index Fund	0.25%	0.25%	0.25%	
DSP Nifty Next 50 Index Fund DSP Nifty Midcap 150 Quality Index	0.45% 0.65%	0.45% 0.65%	0.45% 0.65%	
DSP Nifty Smallcap250 Quality 50 Index Fund DSP Nifty SDL GSEC 2027	0.70% 0.15%	0.70% 0.15%	0.70% 0.15%	+
DSP Nifty SDL GSEC 2028	0.10% 0.20%	0.10% 0.20%	0.10%	1
DSP Crisil SDL GSEC 2033  DSP Nifty Bank Index Fund	0.65%	0.65%	0.20% 0.65%	1
DSP Nitty Top 10 Equal Weight Index Fund ELSS (Section 80 C)	0.65%	0.65%	0.65%	1
DSP ELSS Tax Saver Fund Fund Of Fund - International	0.75%	0.75%	0.75%	-
DSP Global Allocation Fund	0.50%	0.50%	0.50%	]
DSP Global Innovation Fund DSP US Flexible Equity Fund	0.70% 0.70%	0.70% 0.70%	0.70% 0.70%	
DSP World Agriculture Fund DSP World Gold Fund	0.55%	0.55% 0.55%	0.55% 0.55%	-
DSP World Mining Fund DSP World Energy Fund	0.50% 0.50%	0.50% 0.50%	0.50% 0.50%	
DSP Gold ETF Fund	0.35%	0.35%	0.35%	
DSP US Treasury Fund of Fund Arbitrage Fund	0.05%	0.05%	0.05%	_
DSP Arbitrage Fund Fixed Income Schemes	0.50%	0.50%	0.50%	
DSP Bond Fund	0.40%	0.40%	0.40%	1
DSP Credit Risk Fund DSP Banking and PSU Debt Fund	0.60% 0.25%	0.60% 0.25%	0.60% 0.25%	
DSP Short Term Fund DSP Strategic Bond Fund	0.55% 0.55%	0.55% 0.55%	0.55% 0.55%	-
DSP Government Securities Fund DSP 10Y G-Sec Fund	0.40% 0.20%	0.40% 0.20%	0.40% 0.20%	
DSP Ultra Short Fund	0.65%	0.65%	0.65%	
DSP Low Duration Fund DSP Savings fund	0.25%	0.25% 0.20%	0.25% 0.20%	
DSP Floater Fund DSP Corporate Bond Fund	0.25% 0.25%	0.25% 0.25%	0.25% 0.25%	-
DSP Liquidity Fund	0.05%	0.05% 0.05%	0.05%	
DSP Overnight Fund Schemes	0.0376		0.05% Exit L	
All Equity Funds		Holding perio	d from date of allo month	tment: <12 months - 1%; >= s - Nil
DSP Banking and Financial Services Fund		Holding period from date of allotment: <1 month - 0.50%; >= month - Nil		
				itched-out are upto 10% of t
		units purchas	ed or switched-in of allot	within 12 months from the da iment
DSP Equity & Bond Fund, DSP DAAF Fund and DSP Multi Asset Allocation Fund		Nil If units are redeemed or switched out on or after 12 mont from the date of allotment 1% If units are redeemed or switched-out are in excess of 10.		
DSP Tax Saver Fund				er Fund are subject to lock-in
DSP Tax Saver Fullo		period of 36 months from the allotment.  Nil If the units redeemed or switched-out : on or after 12		
		Nil If tho I		units purchased or switched
		months or are	e upto 10% of the	
DSP Credit Risk Fund		months or are	e upto 10% of the thin 12 months fro	m date of allotment
DSP Credit Risk Fund		months or are wi • 1% If units ar	e upto 10% of the thin 12 months fro re redeemed/switch hased or switched	m date of allotment hed-out are in excess of 10% I-in within 12 months from da
DSP Credit Risk Fund		months or are wi • 1% If units ar	e upto 10% of the thin 12 months fro re redeemed/switch	m date of allotment hed-out are in excess of 10% I-in within 12 months from da
		months or are wi • 1% If units ar the units pure	e upto 10% of the thin 12 months fro re redeemed/switcl shased or switched of allot	m date of allotment hed-out are in excess of 10% l-in within 12 months from da ment
DSP Credit Risk Fund  DSP US Treasury Fund of Fund		months or are wi • 1% If units ar the units pure	e upto 10% of the thin 12 months fro re redeemed/switcl shased or switched of allot	m date of allotment hed-out are in excess of 10% in within 12 months from date ment others: <7 Days - 0.1%; >=
		months or are wi • 1% If units ar the units purc Holding peri	e upto 10% of the thin 12 months for re redeemed/switci hased or switched of allot od from date of allo Days	m date of allotment hed-out are in excess of 10% i-in within 12 months from da ment otment: <7 Days - 0.1%; >= - Nil
		months or are wi  1% If units ar the units purce  Holding peri	e upto 10% of the thin 12 months fro e redeemed/switci hased or switched of alloi  d from date of allo Days  0070% if redeeme	m date of allotment hed-out are in excess of 10% i-in within 12 months from date in excess of 10% in within 12 months from date in extension of the control
DSP US Treasury Fund of Fund		months or are with a second of the units and the units purce.  Holding period of the units of the units purce.  Holding period of the units of the units purce.	e upto 10% of the thin 12 months fro e redeemed/switci hased or switched of allof od from date of all Days  0070% if redeemed if redeemed bety redeemed bety	m date of allotment hed-out are in excess of 109 i-in within 12 months from di ment otment: <7 Days - 0.1%;>= - Nil d between 0-1 Days veen 0-2 Days • 0.0060% if
		months or are with a second of the units pure.  Holding perior of the units pure.  Holding perior of the units pure.	a upto 10% of the thin 12 months fro e redeemed/switch hased or switched hased or switched of alloi od from date of alloi Days  0070% if redeemed betweedeemed betweenedeemed betweenedeemed betweenedeemed betweenedeemed betweenedeemed betweenedeemed betweeneemedeemedeemedeemedeemedeemedeemede	m date of allotment hed-out are in excess of 109 i-in within 12 months from diment others. A Days - 0.1%; >= Nil others. One of the control o
DSP US Treasury Fund of Fund		months or are with a second of the units pure.  Holding perior of the units pure.  Holding perior of the units pure.	a upto 10% of the thin 12 months fro e redeemed/switch hased or switched hased or switched of alloi od from date of alloi Days  0070% if redeemed betweedeemed betweenedeemed betweenedeemed betweenedeemed betweenedeemed betweenedeemed betweenedeemed betweeneemedeemedeemedeemedeemedeemedeemede	m date of allotment hed-out are in excess of 109 i-in within 12 months from diment otment: <7 Days - 0.1%; >= - Nil other of the control of t
DSP US Treasury Fund of Fund		months or are  1% if units are the units pure  Holding peri  0.00659  0.00559  0.0045% if n	a upto 10% of the thin 12 months for e redeemed/switch hased or switched of allot of the thin 12 months for of allot of the thin 12 months for the thin 12 month	m date of allotment hed-out are in excess of 109 i-in within 12 months from diment otment: <7 Days - 0.1%; >= -Nil others of the control of t
DSP US Treasury Fund of Fund		months or are  1% If units are the units pure  Holding peri  0.00659  0.00559  Nil If the unit	a upto 10% of the thin 12 month in 12 mont	m date of allotment hed-out are in excess of 10% in within 12 months from diment others. The control of the con
DSP US Treasury Fund of Fund		months or are with a series of the units purchased the units purchased the units purchased the units purchased the units of the units (the limit of the units (the units (	a upto 10% of the thin 12 months for the redeemed/switch hased or switched of allot of the redeemed switched of allot of the redeemed hase the redeemed between the redeemed or safter the redeemed or switch the redeemed or switched the redeemed between the redeemed	m date of allotment hed-out are in excess of 10% in within 12 months from diment others. The control of the con
DSP US Treasury Fund of Fund  DSP Liquidity Fund		months or arr +1% if units an the units purc  Holding peri -00.00659 -0.0045% if if -Nil if the unit units (the limi) -0.25% - If ur the limi	a upto 10% of the thin 12 months for the redeemed/swhich hased or switched of allot od from date of allot od from date of allot od from date of allot od freedemed between determined be	m date of allotment hed-out are in excess of 109 i-in within 12 months from diment or ment: <7 Days - 0.1%; >= -Nil  d between 0-1 Days even 0-2 Days - 0.0060% if even 0-3 Days even 0-4 Days - 0.0050% if even 0-5 Days - 0.0050% if veen 0-5 Days - Nil if redeemed 7 Days - Nil if redeemed 7 Days itched-out are upto 10% of fricthed within 30 days from to wither or switched out are in exces method-out are in excess m
DSP US Treasury Fund of Fund  DSP Liquidity Fund		months or arr +1% if units an the units purc  Holding peri -00.00659 -0.0045% if if -Nil if the unit units (the limi) -0.25% - If ur the limi	a upto 10% of the thin 12 months for the redeemed/swhich hased or switched of allot od from date of allot od from date of allot od from date of allot od freedemed between determined be	m date of allotment hed-out are in excess of 109 in within 12 months from diment of the control
DSP US Treasury Fund of Fund  DSP Liquidity Fund  DSP Arbitrage Fund		months or arr +1% if units an the units purc  Holding peri -00.00659 -0.0045% if if -Nil if the unit units (the limi) -0.25% - If ur the limi	a upto 10% of the thin 12 months for the redeemed/switch hased or switched or switched of all of the thin 12 months for the redeemed hase of the redeemed between the thin 12 months of the redeemed between the thin 13 months of the redeemed or switched or swi	m date of allotment hed-out are in excess of 109 in within 12 months from diment of the control
DSP US Treasury Fund of Fund  DSP Liquidity Fund  DSP Arbitrage Fund  All other Fixed Income Funds, DSP Equity Nifty 56  50 Index Fund, DSP Nifty Next 50 Index Fund, DS	P Nifty	months or arr +1% if units an the units purc  Holding peri -00.00659 -0.0045% if if -Nil if the unit units (the limi) -0.25% - If ur the limi	a upto 10% of the thin 12 months froe redeemed/switch hased or switched of allot od from date of allot od fredeemed betweedeemed betweedeemed betweedeemed betweedeemed betweedeemed between or after to redeemed or switch of the control of th	m date of allotment hed-out are in excess of 109 Hin within 12 months from diment of the months of the months from diment of the months from diment of the months from diment of the months from the months fr
DSP US Treasury Fund of Fund  DSP Liquidity Fund  DSP Arbitrage Fund  All other Fixed Income Funds, DSP Equity Nifty 50	SP Nifty ant Fund, FOF	months or arr +1% if units an the units purc  Holding peri -00.00659 -0.0045% if if -Nil if the unit units (the limi) -0.25% - If ur the limi	a upto 10% of the thin 12 months for the redeemed/switch hased or switched or switched of all of the thin 12 months for the redeemed hase of the redeemed between the thin 12 months of the redeemed between the thin 13 months of the redeemed or switched or swi	m date of allotment hed-out are in excess of 10°-in within 12 months from diment of the ment of the me

## **Brokerage Notes**

- 1) Brokerage rates mentioned above are applicable for all the purchases made from 1st Jan 2024 to 31st Dec 2024
- 2) Trail Brokerage: The trail brokerage is calculated on the basis of 'Daily Average Assets' on the NAV. This is paid in arrears at the end of each month (unless specified otherwise).
- 3) Switches: Inter scheme switches will be treated as a normal purchase. Trail Brokerage will be paid on switches made between schemes (and not plans within the same scheme), which will be treated like a normal purchase as mentioned above.
- 4) Systematic Investment Plan (SIP) Systematic Transfer Plan (STP): In case of SIP / STP instalment brokerage rate prevalent at the time of trigger of instalment is applied and not the date of registration.
- 5) The Brokerage structure communicated for schemes of DSP Mutual Fund (DSPMF) from time-to-time is on an all-inclusive basis (cost to DSPMF / DSPAM ), i.e. inclusive of all cess, charges, taxes etc. that may be incurred by DSP Investment Managers Pvt. Ltd. (DSPAM) and / or DSP Mutual Fund (DSPMF). Further, the Brokerage payable would be subject to all the statutory deductions, including income tax, etc.
- 6) The proposed Brokerage structure is applicable for regular plan only under valid ARN codes. NO Brokerage/Commission (Trail, Special Brokerage incentive) will be payable on Direct Plans and transactions with Invalid ARN codes or if the ARNs is suspended / debarred or if the validity of ARN has expired.
- 7) The brokerage rates for assets mobilized during the current period in all DSP open ended equity, hybrid and ELSS category schemes are expected to remain constant till the time such assets are redeemed, except in following cases when it will go down: (a) If limits on Total Expense Ratio (TER) goes down. (b) Increase in scheme operating expenses, including GST or similar taxes.
- 8) In case of any regulatory change or management decision with respect to reduction in TER or reduction of TER in FOF due to reduction in the TER of the underlying funds, the brokerage structure will be revised downwards from the date of such change. DSPAM and DSPMF reserves the right to change/withhold the rates at its sole discretion without any prior infimation or in case of any regulatory changes/changes in industry practice with respect to payment of brokerages.
- 9) Brokerage payment (all sort) will be made by the respective schemes of DSPMF. The clawback amount can be adjusted against any commission payments to the distributors, whether paid/incurred by DSPMF or DSPAM.
- 10) The Brokerage /Incentive structure mentioned hereinabove is solely payable to AMFI/NISM certified distributors of DSPAM. DSPAM and DSPMF shall not be responsible for any losses incurred by anyone due to change in the Brokerage structure. All distributors shall abide by the code of conduct and nele/regulations laid down by SEBI and AMFI. DSPAM and DSPMF will take disciplinary action against any distributor who is found violating these regulations/code of conduct.